



G&G PLANNING CONCEPTS, INC.
9 East 40th Street, Suite 1500
New York, NY 10016

PRIVACY NOTICE

FACTS	WHAT DOES G&G PLANNING CONCEPTS, INC. DO WITH YOUR PERSONAL INFORMATION?	
WHY?	Financial companies choose how they share your personal information. Federal law gives consumers the right to limit some but not all sharing. Federal law also requires us to tell you how we collect, share, and protect your personal information. Please read this notice carefully to understand what we do.	
WHAT?	<p>The types of personal information we collect and share depend on the product or service you have with us. This information can include:</p> <ul style="list-style-type: none"> • Social Security number • income • account balances • payment history • investment experience • risk tolerance <p>When you are <i>no longer</i> our customer, we continue to share your information as described in this notice.</p>	
HOW?	All financial companies need to share customers’ personal information to run their everyday business. In the section below, we list the reasons financial companies can share their customers’ personal information; the reasons G&G Planning Concepts, Inc. chooses to share; and whether you can limit this sharing.	
	Reasons we can share your personal information	Does G & G Planning Concepts, Inc. share?
	For our everyday business purposes – such as to process your transactions, maintain your account(s), response to court orders and legal investigations, or report to credit bureaus	Yes
	For our marketing purposes – to offer our products and services to you	No
	For joint marketing with other financial companies	No
	For our affiliates’ everyday business purposes – information about your transactions and experiences	Yes
	For our affiliates’ everyday business purposes – information about your creditworthiness	No
	For our affiliates to market to you	No
	For nonaffiliates to market to you	No
	Can you limit this sharing?	
		No
		We don’t share
		We don’t share
		No
		We don’t share
		We don’t share
Questions? (212) 221-7067 or go to www.gassmanfg.com		

Who we are

Who is providing this notice?

G&G Planning Concepts, Inc.

What we do

How does G&G Planning Concepts, Inc. protect my personal information?

To protect your personal information from unauthorized access and use, we use security measures that comply with federal law. These measures include computer safeguards and secured files and buildings.

How does G&G Planning Concepts, Inc. collect my personal information?

We collect your personal information, for example, when you

- open an account
- make deposits or withdrawals from your account
- enter into an investment advisory contract
- seek advice about your investments
- tell us about your investment or retirement portfolio

We also collect your personal information from others, such as your other advisors, affiliates, or other companies.

Why can't I limit all sharing?

Federal law gives you the right to limit only

- sharing for affiliates' everyday business purposes—information about your creditworthiness
- affiliates from using your information to market to you
- sharing for nonaffiliates to market to you

State laws and individual companies may give you additional rights to limit sharing.

Definitions

Affiliates

Companies related by common ownership or control. They can be financial and nonfinancial companies.

- *G&G Planning Concepts, Inc. shares with its affiliate, Gassman & Gassman CPA PC so that Gassman & Gassman CPA PC may provide tax services to you.*

G&G Planning Concepts, Inc. shares with its affiliate, Strategic Wealth Advisors Network (SWAN), for our everyday business purposes.

Nonaffiliates

Companies not related by common ownership or control. They can be financial and nonfinancial companies.

- *G&G Planning Concepts, Inc. does not share with nonaffiliates so they can market to you.*

Joint marketing

A formal agreement between nonaffiliated financial companies that together market financial products or services to you.

- *G&G Planning Concepts, Inc. does not jointly market.*